

Classical and quantum satisfiability*

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We present the linear algebraic definition of QSAT and propose a direct logical characterization of such a definition. We then prove that this logical version of QSAT is not an extension of classical satisfiability problem (SAT). This shows that QSAT does not allow a direct comparison between the complexity classes NP and QMA, for which SAT and QSAT are respectively complete.

1 Introduction

Quantum computation is the paradigm of computer science wherein computations are treated as quantum physical processes. Basically, the interest on this paradigm relies on the possibility that some problems may be solved more efficiently by quantum computers than by classical ones (Cf. [2]). To analyze the relationship between the capabilities of these two very different kinds of computers, quantum versions of the classical computational complexity classes have been defined. In particular, the time-complexity classes *BQP* and *QMA* have received considerable attention (Cf. [1, p. 201-234]).

Since quantum mechanics predicts probabilities of events (Cf. [5]), *BQP* and *QMA* are generalizations of probabilistic classes. *BQP* is the class of problems decidable in polynomial time with bounded error on a quantum computer; it is the quantum generalization of *BPP*, which is, in turn, the probabilistic version of *P*. *QMA* is the quantum-Merlin-Arthur complexity class, the class of decision problems that can be efficiently verified by a quantum computer; it is the quantum version of the class *MA*, which is the classical probabilistic generalization of *NP*.

NP-completeness is an important phenomena in the understanding of the limits between the classes *P* and *NP*. In the case of *BQP* and *QMA*, the same can be said about *QMA*-completeness. The first *QMA*-complete problem was formulated by Kitaev and it is called *local Halmiltonian satisfiability problem (HSAT)*; it can be found in [7, p. 142]. *HSAT* is a generalization of the *MAX-SAT* problem to context of quantum mechanics, where Hamiltonian matrices have a central role in the description of physical systems. In [3], Bravyi changed some aspects of *HSAT* in order to obtain a quantum version of the *SAT* problem. Bravyi's version of *HSAT* is called *QSAT* and, in order to make explicit its logical core, in [4] Bravyi et al. define *QSAT* in the following way:

Input: A set of reduced density matrices $\{((I_k - |v\rangle\langle v|) \otimes I_{n-k})_1, \dots, ((I_k - |v\rangle\langle v|) \otimes I_{n-k})_m\}$ on the Hilbert space of n qubits is given, where $|v\rangle$ is a vector in the 2^k -dimensional Hilbert space of some k -tuple of qubits, I_k is the identity on that Hilbert space, and I_{n-k} is the identity on the remaining qubits.

Problem: Is there a vector $|w\rangle$ in the Hilbert space of n qubits such that

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$$\langle w | ((I_k - |v\rangle\langle v|) \otimes I_{n-k})_i | w \rangle = 0 \text{ for all } i \text{ with } 1 \leq i \leq m?$$

Or, for all $|w\rangle$ in the Hilbert space of n qubits,

$$\langle w | ((I_k - |v\rangle\langle v|) \otimes I_{n-k})_i | w \rangle \geq \varepsilon \text{ for some } i \text{ with } 1 \leq i \leq m, \text{ where } \varepsilon = \Omega(1/\text{poly}(n)) \text{ is a fixed real number}^1?$$

The idea underlining the formulation of *QSAT* is that, given a propositional sentence $\phi = \psi_1 \wedge \dots \wedge \psi_m$ in conjunctive normal form, the vector $|v\rangle$ in each reduced density matrix $((I_k - |v\rangle\langle v|) \otimes I_{n-k})_j$ in a *QSAT* problem corresponds to a classical evaluation v that satisfies all clauses ψ_j of ϕ . Given that $I_k - |v\rangle\langle v|$ is part of each $((I_k - |v\rangle\langle v|) \otimes I_{n-k})_j$, if there is a vector $|w\rangle$ as above, $|w\rangle$ is orthogonal to each of these reduced density matrices and so $|w\rangle$ corresponds to an evaluation w that satisfies ϕ .

Clearly this is a quantum view about *SAT*. Moreover, Bravyi showed in [3] that *QSAT* is *QMA*-complete when the number of qubits n is greater than 2. For this reason *QSAT* has drawn attention in the literature about quantum computational complexity (Cf. [8]): it is a *QMA*-complete problem that is related to an *NP*-complete problem. However, the relationship between complexity classes *NP* and *QMA* is not very well understood. This relationship apparently involves more than mere extensions of problems with probabilities. The probabilistic satisfiability problem (*PSAT*) is a problem that clearly extends *SAT*, but it was shown to remain *NP*-complete problem [6]. In [9], a variation of *QSAT* more closely related to *PSAT* than to *SAT* was presented. In [8], stochastic versions of *QSAT* was explored. But no relationship between instances of *SAT*, *PSAT* and of *QSAT* was established.

The present paper will show that the idea underlining *QSAT*, and which permits us to think it as a generalization of *SAT*, is not adequate, from a logical perspective, to compare the classes *NP* and *QMA*. More precisely, the aim of this paper is to show that, when *QSAT* is formalized in order to establish connections with *SAT*, there are evaluations that satisfies *SAT* but which do not directly correspond to matrices in the form that *QSAT* is defined. In Section 2, *QSAT* will be formulated from *SAT*, using the notion of *quantum assignment*. Given this, in Section 3, it will be proved that *QSAT* in terms of quantum assignments does not correspond to *SAT*, that is to say, *SAT* cannot be viewed as a subcase of *QSAT*. Since quantum assignments are a very natural way of defined *QSAT* from *SAT*, the main result of this paper shows that *QSAT* is not a good problem to analyze the relationship between *NP* and *QMA*.

2 Classical and quantum satisfiability

In this section, from the definition of *SAT* it will be provided a logical version of *QSAT*. For this end, let X be a set of *propositional variables*. Consider L the *propositional language* defined over X using the alphabet $\{\neg, \vee, \wedge\}$. An L -formula ϕ is in *conjunctive normal form* (CNF) if $\phi = \psi_1 \wedge \dots \wedge \psi_m$ and, for each i ,

$$\psi_i = \chi_1 \vee \dots \vee \chi_k,$$

where $\chi_j \in \{x, \neg x\}$ for $x \in X$. Besides this, if the propositional variables of ϕ are in the set $\text{var}(\phi) = \{x_1, \dots, x_n\}$, ϕ is called an L -formula with *dimension* (k, n) .

Definition 2.1. An evaluation assignment is a function v from X to $\{0, 1\}$. An evaluation assignment v is extended to a full evaluation assignment $\hat{v} : L \rightarrow \{0, 1\}$ in the usual way: $\hat{v}(x) = v(x)$ for $x \in X$; $\hat{v}(\neg\phi) = 1$ if, and only if, $\hat{v}(\phi) = 0$; $\hat{v}(\phi \vee \psi) = 1$ if, and only if, $\hat{v}(\phi) = 1$ or $\hat{v}(\psi) = 1$; $\hat{v}(\phi \wedge \psi) = 1$ if,

¹It is necessary to fix such an ε in order to exclude the cases in which there exists no exact satisfying vector, but there are approximate $|w\rangle$ such that equations $\langle w | ((I_k - |v\rangle\langle v|) \otimes I_{n-k})_i | w \rangle = 0$ are satisfied with an exponentially small error.

and only if, $\hat{v}(\phi) = 1$ and $\hat{v}(\psi) = 1$. An L -formula ϕ is satisfiable when there is an evaluation assignment v such that $\hat{v}(\phi) = 1$. The k -satisfiability problem (k -SAT) is the following question: Given an L -formula ϕ in CNF with dimension (k, n) , is ϕ satisfiable?

In the definition of SAT, the meaning of an L -formula ϕ was defined in terms of evaluation assignments. In order to provide a quantum interpretation of the meaning of ϕ , a natural way to proceed is to convert evaluation assignments into density matrices, because in the density operator formulation of quantum mechanics there is a postulate that establishes which to each body in an isolated physical systems corresponds a density operator in a Hilbert space [5]. The formulation of QSAT exhibited in the Introduction relies on this intuition; in what follows such a perspective will be situated in a logical context.

Given an L -formula ϕ such that $\text{var}(\phi) = \{x_1, \dots, x_n\}$, the Hilbert space associate to ϕ is the vector space $\mathbb{C}_2^{\otimes n}$ of dimension 2^n defined on the complex field \mathbb{C} such as in [10, p. 61]. The computational base of $\mathbb{C}_2^{\otimes n}$ is the basis set $\{|b^1\rangle, \dots, |b^{2^n}\rangle\}$ where each vector is defined as

$$|b^k\rangle = \begin{pmatrix} b_1^k \\ \vdots \\ b_{2^n}^k \end{pmatrix},$$

$$\text{for } b_i^k = \begin{cases} 1 & \text{if } k = i, \\ 0 & \text{otherwise.} \end{cases}$$

The Hilbert space $\mathbb{C}_2^{\otimes n}$ has an inner product $\langle | \rangle : \mathbb{C}_2^{\otimes n} \times \mathbb{C}_2^{\otimes n} \rightarrow \mathbb{C}_2^{\otimes n}$ defined in the following way:

$$\langle v | w \rangle = (v_1^* \quad \dots \quad v_{2^n}^*) \begin{pmatrix} w_1 \\ \vdots \\ w_{2^n} \end{pmatrix},$$

where v_i^* is the complex conjugate of v_i . From this, it is possible to define a logical version of QSAT into $\mathbb{C}_2^{\otimes n}$ in accordance with the definition given in [4].

Definition 2.2. For each clause ψ_i of an L -formula $\phi = \psi_1 \wedge \dots \wedge \psi_m$ in CNF such that $\psi_i = \chi_1 \vee \dots \vee \chi_k$ and $\text{var}(\phi) = \{x_1, \dots, x_n\}$, a quantum assignment to ψ_i is a $2^n \times 2^n$ -matrix $\psi_i^{(\hat{v})}$ such that

$$\psi_i^{(\hat{v})} = a(I_k - |v(x_{i_1}) \dots v(x_{i_k})\rangle \langle v(x_{i_1}) \dots v(x_{i_k})|) \otimes I_{n-k},$$

where a is some polynomial-time computable complex number in \mathbb{C} , $v \in \text{Eval}(\phi)$ is such that, for all i with $0 \leq i \leq m$, $\hat{v}(\psi_i) = 1$, and x_{i_1}, \dots, x_{i_k} are the propositional variables in $\text{var}(\phi)$ that occur in ψ_i . Fix a real number $\varepsilon = \Omega(1/\text{poly}(n))$. Thus, ϕ is quantum satisfiable if there is a vector $|w\rangle$ in $\mathbb{C}_2^{\otimes n}$ such that

$$\langle w | \psi_i^{(\hat{v})} | w \rangle = 0 \text{ for all } i \text{ with } 1 \leq i \leq m;$$

otherwise, ϕ is quantum unsatisfiable, i.e., for all $|w\rangle$ in $\mathbb{C}_2^{\otimes n}$,

$$\langle w | \psi_i^{(\hat{v})} | w \rangle \geq \varepsilon \text{ for some } i \text{ with } 1 \leq i \leq m.$$

The quantum k -satisfiability problem (k -QSAT^{*l*}) is the following question: Given an L -formula ϕ in CNF with dimension (k, n) , is ϕ quantum satisfiable?

It is important to note that QSAT^{*l*} is a restriction of the original problem QSAT shown in the Introduction. As explained above, the relationship between QSAT and SAT is established at an informal and intuitive level, but in QSAT^{*l*} the reduced density matrices are quantum assignments, which are matrices constructed from evaluation assignments. In other words, QSAT^{*l*} is a logical version of QSAT defined directly from SAT. Hence, it is possible now to evaluate the relationship between QSAT and SAT from a logical point of view, looking at the relationship between QSAT^{*l*} and SAT.

3 k -SAT and k -QSAT^{*l*}

In this section it will be shown that, although all problems in $QSAT^l$ are just quantum versions of problems in SAT , the conversion of a solution to a problem in SAT not necessarily corresponds to a solution of the same problem in $QSAT^l$. Since $QSAT^l$ is a logical restriction of $QSAT$, this means that $QSAT$ could be considered a quantum generalization of SAT at an intuitive level, but from a logical perspective the relationship between $QSAT$ and SAT is weak. Indeed, given definitions 2.1 and 2.2, it seems reasonable to consider $QSAT$ a good generalization of SAT only if each solution to an instance of a k -SAT problem can be translated into a solution to an instance of a k -QSAT^{*l*} problem, this section shows that this is not the case.

More precisely, let ϕ be an L -formula in CNF with dimension (k, n) . To provide a positive solution to the k -SAT problem relative to ϕ means to find an evaluation v such that $\hat{v}(\phi) = 1$. If $QSAT$ is a good generalization of SAT , then, for each v such that $\hat{v}(\phi) = 1$, it should be possible to find a vector $|w\rangle$ in $\mathbb{C}_2^{\otimes n}$ such that, first, $\langle w | \psi_i^{(\hat{v})} | w \rangle = 0$ for all i with $1 \leq i \leq m$ and, second, v can be directly translated into $|w\rangle$. Certainly, supposing that $var(\phi) = \{x_1, \dots, x_n\}$, a very *natural conversion* of such an evaluation v is just the vector $|v(x_1) \cdots v(x_n)\rangle$, i.e., $|w\rangle = |v(x_1) \cdots v(x_n)\rangle$ should be a vector that is orthogonal to the quantum assignments associated to the clauses of ϕ because \hat{v} satisfies ϕ . Nevertheless, consider the following example.

Example 3.1. Take the L -formula $\phi = (x \vee \neg y) \wedge (\neg x \vee z)$. The evaluation $v \in Eval(\phi)$ such that $v(x) = 1$, $v(y) = 0$ and $v(z) = 1$ is such that $\hat{v}(x \vee \neg y) = \hat{v}(\neg x \vee z) = 1$ and so $\hat{v}(\phi) = 1$. In this case,

$$|v(x)v(y)\rangle\langle v(x)v(y)| = ||1\rangle \otimes |0\rangle\rangle\langle 1| \otimes |0| = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

and

$$|v(x)v(z)\rangle\langle v(x)v(z)| = ||1\rangle \otimes |1\rangle\rangle\langle 1| \otimes |1| = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

As $k = 2$ and $n = 3$, $(I_k - |v(x)v(y)\rangle\langle v(x)v(y)|) \otimes I_{n-k}$ and $(I_k - |v(x)v(z)\rangle\langle v(x)v(z)|) \otimes I_{n-k}$ are, respectively, the following matrices

$$a \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, b \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

However, $|v(x)v(y)v(z)\rangle = |101\rangle = |1\rangle \otimes |0\rangle \otimes |1\rangle$ is the vector

$$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}.$$

Hence, $a(I_k - |v(x)v(y)\rangle\langle v(x)v(y)|) \otimes I_{n-k}|v(x)v(y)v(z)\rangle = 0$ but $b(I_k - |v(x)v(z)\rangle\langle v(x)v(z)|) \otimes I_{n-k}|v(x)v(y)v(z)\rangle \neq 0$ for any $a, b \in \mathbb{C}$.

This example shows that the natural conversion exhibited above does not work for a particular L -formula. The next result generalizes example 3.1.

Proposition 3.1. *Let ϕ be a satisfiable L -formula in CNF with dimension (k, n) such that $\text{var}(\phi) = \{x_1, \dots, x_n\}$. Suppose that ψ_p and ψ_q are clauses of ϕ such that $\text{var}(\psi_p) \neq \text{var}(\psi_q)$. Then, there is an evaluation $v \in \text{Eval}(\phi)$ such that, for all i , $\hat{v}(\psi_i) = 1$ but*

$$\text{either } \langle v(x_1) \cdots v(x_n) | \psi_p^{(\hat{v})} | v(x_1) \cdots v(x_n) \rangle \neq 0 \text{ or } \langle v(x_1) \cdots v(x_n) | \psi_q^{(\hat{v})} | v(x_1) \cdots v(x_n) \rangle \neq 0.$$

Proof. Consider $\psi_p = \chi_1^p \vee \cdots \vee \chi_k^p$ and $\psi_q = \chi_1^q \vee \cdots \vee \chi_k^q$. Let x_1^p, \dots, x_k^p and x_1^q, \dots, x_k^q be the propositional variables in $\text{var}(\phi) = \{x_1, \dots, x_n\}$ that occur, respectively, in ψ_p and ψ_q . Note that $\langle v(x_1) \cdots v(x_n) | \psi_i^{(\hat{v})} | v(x_1) \cdots v(x_n) \rangle = 0$ if, and only if, $\psi_i^{(\hat{v})} | v(x_1) \cdots v(x_n) \rangle = ((I_k - |v(x_1^i) \cdots v(x_k^i)\rangle\langle v(x_1^i) \cdots v(x_k^i)|) \otimes I_{n-k}) | v(x_1) \cdots v(x_n) \rangle = 0$. Thus, it will be shown that there exists an evaluation $v \in \text{Eval}(\phi)$ such that, for all i , $\hat{v}(\psi_i) = 1$ but

$$\text{either } ((I_k - |v(x_1^p) \cdots v(x_k^p)\rangle\langle v(x_1^p) \cdots v(x_k^p)|) \otimes I_{n-k}) | v(x_1^p) \cdots v(x_k^p) \rangle \neq \vec{0} \text{ or} \\ ((I_k - |v(x_1^q) \cdots v(x_k^q)\rangle\langle v(x_1^q) \cdots v(x_k^q)|) \otimes I_{n-k}) | v(x_1^q) \cdots v(x_k^q) \rangle \neq \vec{0}.$$

The matrix $((I_k - |v(x_1^p) \cdots v(x_k^p)\rangle\langle v(x_1^p) \cdots v(x_k^p)|) \otimes I_{n-k})$ associated to ψ_p is such that either $((I_k - |v(x_1^p) \cdots v(x_k^p)\rangle\langle v(x_1^p) \cdots v(x_k^p)|) \otimes I_{n-k}) | v(x_1^p) \cdots v(x_k^p) \rangle = 0$ or $((I_k - |v(x_1^p) \cdots v(x_k^p)\rangle\langle v(x_1^p) \cdots v(x_k^p)|) \otimes I_{n-k}) | v(x_1^p) \cdots v(x_k^p) \rangle \neq 0$. Suppose that the first case is true. Since $\text{var}(\psi_p) \neq \text{var}(\psi_q)$, without loss of generality, let $x_j^q \in \{x_1, \dots, x_n\}$ be such that $x_j^q \in \text{var}(\psi_q) - \text{var}(\psi_p)$. In this way, $x_j^q = \chi_j^q$ or $\neg x_j^q = \chi_j^q$ for some j with $1 \leq j \leq k$, designate it just by χ_j^q . Due to the hypothesis, ϕ is satisfiable, and so there is an evaluation $v \in \text{Eval}(\phi)$ such that $\hat{v}(\psi_p) = \hat{v}(\psi_q) = 1$. Take some χ_i^p for which $\hat{v}(\chi_i^p) = 1$ and consider the x_i^p that occurs in χ_i^p . Permute χ_j^q in ψ_q until χ_j^q is the position i in ψ_q , i.e., do the following: $\chi_1^q \vee \cdots \vee \chi_{j-1}^q \vee \chi_{j+1}^q \vee \chi_j^q \vee \cdots \vee \chi_k^q$, $\chi_1^q \vee \cdots \vee \chi_{j-1}^q \vee \chi_{j+1}^q \vee \chi_{j+2}^q \vee \chi_j^q \vee \cdots \vee \chi_k^q$, and so on. Due to the commutativity of the disjunction, this does not change $\hat{v}(\psi_q)$. Now observe that it is always possible to find x_i^p and x_j^q such that $v(x_i^p) \neq v(x_j^q)$. In fact, there are 16 possibilities of combining values $\hat{v}(\chi_i^p)$ and $\hat{v}(\chi_j^q)$ because either $\chi_i^p = x_i^p$ and $\chi_j^q = x_j^q$, $\chi_i^p = \neg x_i^p$ and $\chi_j^q = x_j^q$, $\chi_i^p = x_i^p$ and $\chi_j^q = \neg x_j^q$ or $\chi_i^p = \neg x_i^p$ and $\chi_j^q = \neg x_j^q$. Since we take an χ_i^p such that $\hat{v}(\chi_i^p) = 1$, we just look at one of these possibilities that are compatible with the form of χ_i^p and for which $\hat{v}(\chi_j^q) = 1$ but $v(x_i^p) \neq v(x_j^q)$. For this reason, it can be supposed that $v(x_i^p) \neq v(x_j^q)$. In this way, because $v(x_i^p) \neq v(x_j^q)$, the product of the a_{ii} -element of $((I_k - |v(x_1^p) \cdots v(x_k^p)\rangle\langle v(x_1^p) \cdots v(x_k^p)|) \otimes I_{n-k})$ and the i -element of $|v(x_1^p) \cdots v(x_k^p)\rangle$ is equal to zero. Indeed, this element a_{ii} is just $v(x_i^p) \cdot v(x_i^p)$ and the i -element of $|v(x_1^p) \cdots v(x_k^p)\rangle$ is $v(x_i^p)$, and so $v(x_i^p) = 0$. Since $v(x_i^p) \neq v(x_j^q)$, this means that

$v(x_j^q) = 1$ and, consequently, $((I_k - |v(x_1^q) \cdots v(x_k^q)\rangle\langle v(x_1^q) \cdots v(x_k^q)|) \otimes I_{n-k})|v(x_1^q) \cdots v(x_k^q)\rangle \neq 0$. With a similar argument we show that if $((I_k - |v(x_1^p) \cdots v(x_k^p)\rangle\langle v(x_1^p) \cdots v(x_k^p)|) \otimes I_{n-k})|v(x_1^p) \cdots v(x_k^p)\rangle \neq 0$ then $((I_k - |v(x_1^q) \cdots v(x_k^q)\rangle\langle v(x_1^q) \cdots v(x_k^q)|) \otimes I_{n-k})|v(x_1^q) \cdots v(x_k^q)\rangle = 0$. \square

Given what was said above, it can be derived from Proposition 3.1 that k -QSAT is not an adequate generalization of k -SAT as far as the logical relationship between them is concerned.

4 Conclusion

In this paper, the logical relationship between SAT and QSAT was made explicit. It was shown that the connection between them is only superficial and not deep enough to allow a direct comparison between NP and QMA. This result raises the question: Is there a QMA-complete problem that, from a logical point of view, is an appropriate quantum generalization of SAT?

The same limitations exhibited here pertaining SAT and QSAT also are applicable to the problems studied in [9] as well as in [8] pertaining the relationship between PSAT and QSAT. Therefore, the existing quantum versions of the satisfiability problem do not allow an adequate logical analysis of the relationship between quantum and classical time-complexity classes.

This does not permit us, however, to affirm that all versions of QSAT are inappropriate to compare NP and QMA. Moreover, it is possible that QSAT itself could be used for this aim. The point is that, although the existing quantum generalization of SAT could seem to be analogous to it, they have in fact a logical formulation that is essentially different from SAT, the original problem.

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